

Understanding your needs

Our **Custody Services** offering

As a Custody client, you have access to a wide range of custody services from a single source. Backed by our global network of top-tier custodians and our decades of experience in processing international securities for a variety of demanding client segments, we provide you with efficient and professional access to the global markets. Put our advisory expertise to the test for the benefit of your clients.

You can be secure in your choice with UBS

- Top ratings in international surveys dating back many years
- Reliable partner with solid capital base
- Regular and thorough evaluation of sub-custodians
- Monitoring of global market and compliance regulations
- Proven expertise in market and risk management

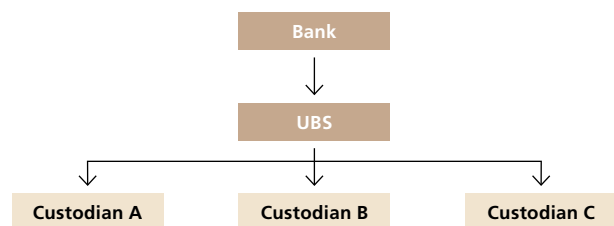
Securities custody

You can entrust us with the safekeeping of all of your securities and other financial products. Your assets are deposited exclusively either directly with national and international custodians or with carefully selected sub-custodians. We place a special emphasis on the quality of our global network of custodians and clearing organizations, which are subject to ongoing review by our specialists. This means you also benefit from our valuable local contacts. We provide custody services for our clients' assets in more than 70 markets.

Clearing and settlement

Benefit from our international experience and sophisticated infrastructure in securities settlement. We process deliveries – either against or free of payment – on the settlement date (actual) without delay and synchronously with the market, and clear securities and cash simultaneously. If you settle your stock exchange transactions via UBS Switzerland AG, we clear cash and securities with a guaranteed value date (contractual). You do not need to send us any instructions and we will also assume the delivery costs as well as the market and counterparty risk.

Securities custody at UBS



Capital transactions

We monitor the international financial markets on a continuous basis and have access to a wide range of reliable sources. Our sub-custodians, the clearing organizations, SIX Financial Information (formerly Telekurs) and other providers supply us directly with information on pending capital transactions.

As a universal bank with a strong investment banking arm, we are directly involved in a number of capital transactions as issuer and/or syndicate member or in the role of paying agent. This means we can notify you in good time about planned capital events and confirm with you directly when they have been concluded. You can rely on our easily understandable confirmations and our proactive service to preserve and protect investor rights.

Distribution of earnings and capital repayments

As your custodian bank, we make the necessary arrangements for interest and dividend distributions and capital repayments. We simplify your cash management thanks to early notifications. We credit incoming payments on the day the payment is made in the majority of cases (actual).

Proxy voting

We notify you of all ordinary and extraordinary general meetings of listed Swiss companies. You can order admission tickets for your clients or authorize us to represent you as your proxy at the general meetings of Swiss companies. With UBS Proxy Voting International, your clients can fully represent your rights as shareholder in foreign companies and exert an active influence on the companies' decisions in line with corporate governance principles.

Funds

We support you during the entire fund settlement process and answer any specific questions you may have relating to fund liquidations, equalization payments or side pockets. We also operate an industry-leading subscription and redemption service. Take advantage of the expertise of our fund specialists and use our services to strengthen your personal offering. We offer you a wide range of opportunities to standardize and streamline your fund business (such as sending and receiving electronic messages).

Tax services

We offer a broad range of top-tier products in the tax arena such as reclaiming withholding taxes and organizing exemptions from deductions at source. We will be happy to support you on QI and FATCA with regard to setup and documentation for the US market.

Online tools

We provide you with a wide range of online tools geared to your specific needs. UBS KeyLink is a modular, SWIFT-based client interface for e-banking services. The UBS Custody Info Portal is the ideal complement to UBS's offering of transaction-based tools. On this portal you will find UBS Custody News Flashes on current changes in the market as well as market guides and UBS-specific conditions for the relevant markets.

Client advisory

Our team of experts is on hand to assist you with all your custody needs. Your personal relationship manager will be happy to work with you to develop suitable solutions for you and your clients.

Our specialist account management teams take a proactive approach to your requirements and support you in your day-to-day custody business with their expert knowledge. They monitor pending transactions and answer your questions to ensure quick and professional execution.

Whether you're looking for advice, fully integrated settlement or client support – we are the right partner for you. We understand your needs, develop solutions geared to your individual situation, assist you with implementation and check in with you on a regular basis to determine how we can align our services even more precisely to your personal requirements.