In Switzerland, we are the leading fund management company and we also act as Swiss representative for foreign funds distributed in Switzerland. We represent UBS own funds as well as third party funds (e.g. asset manager as well as foreign management companies).

Requirements for distribution in Switzerland
Fund providers may distribute their funds in Switzerland to qualified and non-qualified investors. According to CISA, such funds need to appoint a Swiss representative as well as a paying agent and must ensure that the relevant legal documents (including Swiss specific requirements) for the Swiss investors are in place – free of charge. In case of distribution to non-qualified investors a FINMA registration of the respective fund(s) is required and the obligations to report, publish and inform have to be met continuously.

One-Stop Shop Service
We can offer you a one-stop shop service leveraging our legal, reporting and operational resources. Together with a dedicated legal representative specialist, we guide you through the registration and on-boarding process, making access to the Swiss fund market as easy as possible. Our one-stop shop approach allows us to offer a yearly flat fee – making costs transparent and predictable for you.

Oversight
- Ensuring that requests by investors and FINMA are promptly answered.
- Supervision of distribution partners in meeting their regulatory requirements and providing education to distribution partners if requested.

Development of your market entry
- To comply with the regulations, fund providers will need to assess their existing or planned distribution activities in Switzerland and may need to appoint a Swiss representative and a paying agent.
- Our Swiss Management Company, UBS Fund Management (Switzerland) AG, can help to guide you through the regulations and can act as Swiss representative for both funds for qualified investors and funds for non-qualified investors.

Tax reporting
- Submission of taxable income figures to Swiss Federal Tax authorities (SFTA) for publication
- Verifying correct publication of submitted data and answering questions from SFTA

Communication and regulatory management
- Legal representative of the fund provider according to CISA (including direct interaction with FINMA and assisting in responding to questions from Swiss investors should the need arise.
- Providing regular updates to the fund provider on relevant regulatory developments in the Swiss fund market.
- Advising the fund provider on amendment of legal documents to ensure that they comply with applicable Swiss law, Swiss self-regulation and the standards applied by FINMA.
- Updating contracts in case of any changes to applicable Swiss law, Swiss self-regulation or standards applied by FINMA.
Paying agent selection

- Arranging for paying agent services with UBS Switzerland AG and preparing respective agreement.
- Supporting the fund provider with alternative solutions if UBS cannot / may not act as paying agent.

Requirements

- The fund provider has an established relationship on one of the following UBS platforms: UBS White Labelling Solutions, UBS Asset Servicing, UBS Hedge Fund Solutions and UBS Prime Services.
- The fund provider is only working with regulated custodians, distributors, asset managers and management company service providers.
- To arrange paying agent services with UBS Switzerland AG, the correspondent bank / custodian of the funds must have an established relationship with UBS Switzerland AG our core services.

Distribution via fund platforms

Via agreements with various fund platforms, we may grant you access to leading platforms like Allfunds, Fondcenter, MFEX etc.

Change of service providers

Providing support if a fund provider wishes to change its current representative and paying agent.

Administration

UBS Management Companies work with a limited number of best-in-class selected Central Administrators while you as a white labelling client will have a contract only with the UBS Management Company.

Contact us

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