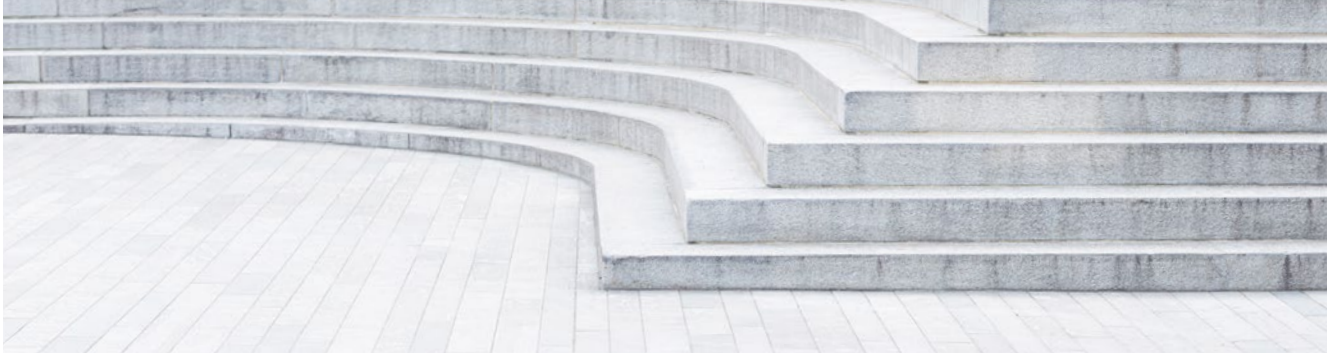


Market Access and Corporate Services

UBS Fund Management Services



In Switzerland, we are the **leading fund management company** and we also act as Swiss representative for foreign funds distributed in Switzerland.

International registration and distribution support

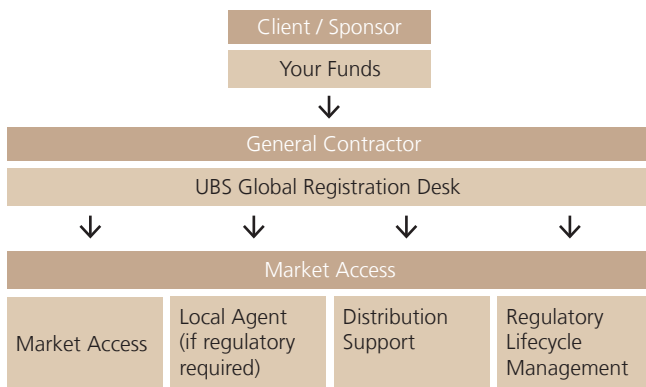
We **facilitate registration** of your funds in all the jurisdictions you envisage for public distribution. We take care of the entire registration process and **ensure appropriate** follow up required by local regulators.

Regulatory lifecycle management

For maintaining your funds' distribution authorization, we take care of the ongoing **regulatory reporting obligations** like prospectus-, PRIIP KID and KIID-updates, submission of financial statements and **obligatory reporting** to the authorities in your target markets.

Broad coverage via a single point of contact

Our offering **covers all main European markets** and selected countries in Middle East, Asia and in the Americas.



One-stop shop service

We can offer you a one-stop shop service leveraging our legal, reporting and operational resources. Together with a dedicated registration specialist, we guide you through the registration and on-boarding process, making access to the global fund market as easy as possible. Our one-stop shop approach allows us to offer **a yearly flat fee** – making costs transparent and predictable for you.

Our dedicated team of experienced specialists for representation, registration and distribution services brings **in-depth knowledge of regulatory and market intelligence**. Our close working cooperation with local regulatory authorities allows a **smooth registration process and thus a fast access to market**.

Domiciliation and corporate secretary services

We provide your funds with a registered office in Luxembourg. A dedicated team of legal and compliance professionals ensures:

- Full preparation, organization and minuting of all corporate events like ordinary and extraordinary shareholder meetings and Board of Directors meetings
- Compliant document management
- Correspondence coordination

Distribution via Fondcenter AG

Fondcenter AG is the leading B2B fund platform in Switzerland, and one of the leading platforms in Europe. Together with our colleagues in Fondcenter AG, we may grant access and visibility of your funds to more than 240 banks and insurance companies (consolidated in one distribution and one service agreement).



Global Registration Services

- Easing the process of registration and offering representation of your funds
- Interface to the local supervisory authority
- Coordination and monitoring of country-specific reporting requirements
- Performing the regulatory lifecycle management of your funds in various jurisdictions
- Confirming compliance with associated regulatory matters, mitigating legal and reputational risks for your funds and your brand
- Maintaining a registration matrix - allowing you a consolidated overview of the global registrations status of your funds
- Coordination with internal and external service providers
- for local agent services



Requirements

The fund provider has an established relationship with one of the following UBS platforms: UBS Fondcenter, UBS Fund Management Services, UBS Asset Servicing, UBS Hedge Fund Solutions and UBS Prime Services. Further, the fund provider is only working with regulated custodians, distributors, asset managers and management company service providers, and he will bear the costs for an initial and ongoing operational due diligence.

Contact us: UBS Fund Management Services

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Swiss representative services

- Preparation of documents and registration of funds with FINMA
- Fulfillment of legal and regulatory requirements towards FINMA, distributor and investors in case of amendments of KIIDs, prospectus as well as articles of association or fund contract
- Production of annual and semi-annual reports
- Arranging for Swiss paying agent services and preparing respective agreement
- Negotiating distribution contracts with distributors at the fund provider's request and performing yearly due diligence
- Regular update on regulatory, legal, tax-related and structural developments in the Swiss fund market
- Continuous review on marketing material and access to the disclaimer databank



Administration

UBS Management Companies collaborate with Northern Trust as the preferred service provider for all fund administration services for white labelling solutions. As a white labelling client you would generally have a contract with the selected UBS Management Company which supervises administrative as well as regulatory tasks and guarantees the desired value proposition.

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