

# The *Essentials* at a Glance

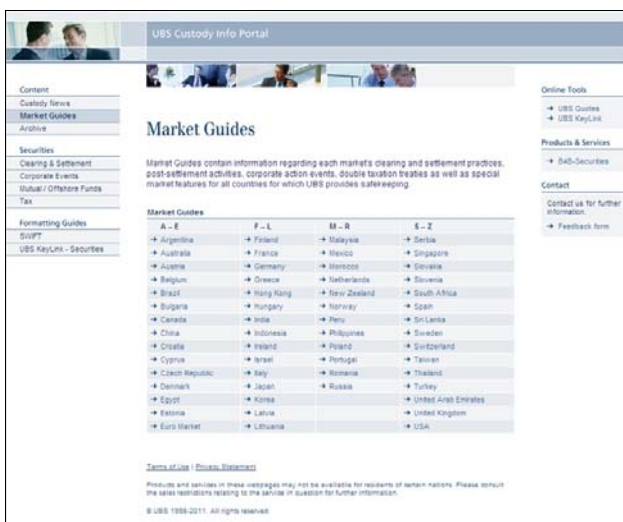
**UBS is committed to providing custody clients (financial institutions and institutional clients) with all information and necessary tools to manage banking relationships efficiently. The UBS Custody Info Portal supports you in your daily interactions with your stakeholders. On this online tool, you will find a summary of all information relating to your daily business tasks. Are you looking for the latest custody market information? The documents related to settlements or corporate actions? Or would you like to have access to all your UBS e-tools on just one site? The UBS Custody Info Portal can provide the answers to all these questions.**

## Creating Value

The UBS Custody Info Portal aims to help you enhance the efficiency of your daily business, reduce your internal costs and lower your operational risk. The UBS Custody Info Portal represents an ideal complement to the transaction-oriented UBS e-tools.

## Subject Areas of the UBS Custody Info Portal

Thanks to the UBS Custody Info Portal' modular architecture, you have access to an information portal customized to your needs – anytime and anywhere.



The following subject areas are available:

### Custody News

Custody News is sent via E-Mail to registered users. Custody News provides you with a broad overview of current market events and contains useful information on operational activities with UBS. Custody News is also published and archived on the Custody News section of the UBS Custody Info Portal.

### Market Guides

UBS Market Guides enable you to consult local regulations and UBS-specific conditions for relevant markets. You can find a separate document for each offered market, including concise information relating to the following areas:

- Market Overview
- Trading
- Market Entry Rules
- Clearing & Settlement
- Corporate Actions
- Income and Taxes
- Shareholder Reporting

### Clearing & Settlement

This area provides you with a wide array of settlement-related documents such as the main custodian list, the securities cut-off-time lists, templates for settlement instructions and transfer documents for physical securities.

### Corporate Events

The Corporate Events section contains complete information about corporate actions, income and bank declaration in Switzerland.

### Mutual / Offshore Funds

In this part you will find all relevant SWIFT settlement formatting specialties for funds.

### Tax

This topic advises you of the Qualified Intermediary System and provides in-depth information on withholding taxes and other tax-related issues.

### SWIFT

The SWIFT section lists all SWIFT settlement formatting specialties by market as well as the technical usage guides.

### UBS KeyLink – Securities

A manual of the UBS KeyLink features for securities and holiday dates can be found in this area.

### **Online Tools**

We provide you with access to all relevant log-ins for the most important UBS e-channels – all on one site.

### **Products & Services**

Are you looking for service solutions or would you be interested in getting more information on the UBS product offering? Feel free to use this section of the UBS Custody Info Portal to view a complete catalog of products and services tailored to your needs.

### **Your Advantages**

- Know-how: Increase your market knowledge thanks to constantly updated information
- Time saving: Find all information you need on one single portal
- Flexibility: Have access to all relevant information – anytime and anywhere
- Exclusivity: Get your information from a platform exclusively reserved for our clients – customized to your needs
- Effortless: Login is quick and easy. The UBS Custody Info Portal does not require the installation of any additional software on your system

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