

Karin Oertli



Current functions

COO Group Compliance,
Regulatory & Governance

Head Compliance &
Operational Risk Control
P&C and Region Switzerland

Member of the Executive
Board of UBS Switzerland AG

Business division

Group Functions

Year of birth

1967

Nationality

Swiss

Education

2000	Accelerated Development Program, London Business School
1999	CPA, Swiss Certified Public Accountant, Zurich
1991	Bachelor's degree, School of Economics and Business Administration, Zurich

Professional History

2000–date	UBS
12/2022–date	COO Group Compliance, Regulatory & Governance
05/2021–date	Head Compliance & Operational Risk Control P&C and Region Switzerland
02/2018–date	Member of the Executive Board of UBS Switzerland AG
05/2021–12/2022	Head Group Compliance, Regulatory & Governance Transformation, Group Governance
2018–2021	COO Personal & Corporate Banking (P&C) and Region Switzerland
2017–2018	Head Asset Management EMEA & Switzerland Head Wealth Management / Wealth Management Americas Client Coverage, Asset Management
2015–2016	Head Asset Management Switzerland
2009–2014	Head Global Financial Intermediaries, Wealth Management
2008–2009	COO and Deputy Head Key Clients, North, East & Central Europe, Wealth Management
2005–2007	Head Audit, Global Wealth Management & Business Banking and Wealth Management Americas
2004–2005	Head Audit, Global Wealth Management & Business Banking
2000–2004	Head Business Banking Audit
1996–2000	Ernst & Young
	Senior Consultant, Mergers & Acquisition, Corporate Finance Senior Auditor, Corporate Clients
1995	Credit Suisse
	Senior Client Advisor, Corporate Clients
1992–1995	Union Bank of Switzerland
	Client Advisor, Corporate Clients Graduate Trainee Program, Corporate Clients

Mandates

- President of the Foundation Board of "UBS Foundation for Social Issues and Education"
- Member of the Foundation Board of "UBS Culture Foundation"
- Member of "Schweizerisches Institut für Auslandsforschung" (SIAF)
- Member of the Chapter "Doing Business in Switzerland" of the Swiss-American Chamber of Commerce
- Member of the Swiss Institute of Certified Accountants and Tax Consultants