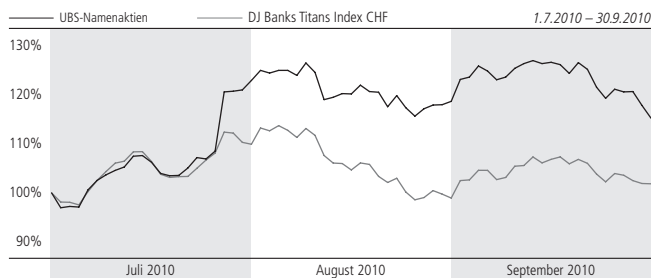


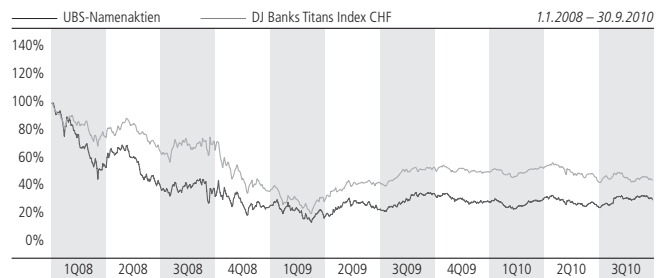
Unsere Kennzahlen für das dritte Quartal 2010

Kursentwicklung der UBS-Aktie

Drittes Quartal 2010



Seit 2008



UBS-Konzern

Mio. CHF (Ausnahmen sind angegeben)	Für das Quartal endend am oder per			Seit Jahresbeginn	
	30.9.10	30.6.10	30.9.09	30.9.10	30.9.09
Geschäftsertrag	6 658	9 185	5 766	24 853	16 506
Geschäftsaufwand	5 840	6 571	6 359	18 611	19 980
Ergebnis vor Steuern (aus fortzuführenden Geschäftsbereichen)	818	2 614	(593)	6 242	(3 474)
Den UBS-Aktionären zurechenbares Konzernergebnis	1 664	2 005	(564)	5 871	(3 941)
Verwässertes Ergebnis pro Aktie (CHF) ¹	0.43	0.52	(0.15)	1.53	(1.09)

¹ Für nähere Angaben siehe «Note 8 Earnings per share (EPS) and shares outstanding» im Anhang zur Konzernrechnung im Quartalsbericht zum 30. September 2010.

Kennzahlen zur Leistungsmessung, Bilanz- und Kapitalbewirtschaftung¹

In % (Ausnahmen sind angegeben)	Für das Quartal endend am oder per			Seit Jahresbeginn	
	30.9.10	30.6.10	30.9.09	30.9.10	30.9.09
Performance					
Eigenkapitalrendite (RoE)				17,6	(15,6)
Risikogewichtete Gesamtkapitalrentabilität, brutto				15,9	9,3
Gesamtkapitalrentabilität, brutto				2,3	1,4
Wachstum					
Wachstum des Ergebnisses ²	(17,0)	(8,9)	N/A	N/A	N/A
Nettoneugelder (Mrd. CHF) ³	1,2	(4,7)	(36,7)	(21,4)	(91,1)
Effizienz					
Verhältnis von Geschäftsaufwand / Geschäftsertrag	88,1	71,2	106,1	75,2	109,4

Mio. CHF (Ausnahmen sind angegeben)	Per		
	30.9.10	30.6.10	31.12.09
Kapitalkraft			
BIZ-Kernkapitalquote (Tier 1) (%) ⁴	16,7	16,4	15,4
FINMA Leverage Ratio (%) ⁴	4,40	4,12	3,93
Bilanz- und Kapitalbewirtschaftung			
Total Aktiven	1 460 509	1 458 223	1 340 538
Den UBS-Aktionären zurechenbares Eigenkapital	47 713	46 017	41 013
BIZ-Gesamtkapitalquote (Tier 1 und 2) (%) ⁴	20,2	20,4	19,8
BIZ-Risikogewichtete Aktiven ¹	208 289	204 848	206 525
BIZ-Kernkapital (Tier 1) ⁴	34 817	33 685	31 798

¹ Die Definitionen der Kennzahlen unserer Leistungsmessung befinden sich im Abschnitt «Performancemessung und -analyse» auf Seite 34 im Geschäftsbericht 2009. ² Besitzt keine Aussagekraft, falls für die laufende Periode oder die Vergleichsperiode ein Verlust ausgewiesen wird. ³ Ohne Zins- und Dividendenerträge. ⁴ Für nähere Angaben siehe Kapitel «Capital management» im Quartalsbericht zum 30. September 2010.

Ergebnis der Unternehmensbereiche

Mio. CHF	Total Geschäftsertrag			Total Geschäftsaufwand			Ergebnis vor Steuern aus fortzuführenden Geschäftsbereichen		
	30.9.10	30.6.10	Veränderung in %	30.9.10	30.6.10	Veränderung in %	30.9.10	30.6.10	Veränderung in %
Für das Quartal endend am	30.9.10	30.6.10		30.9.10	30.6.10		30.9.10	30.6.10	
Wealth Management	1759	1891	(7)	1267	1232	3	492	658	(25)
Retail & Corporate	966	995	(3)	520	522	0	446	473	(6)
Wealth Management & Swiss Bank	2725	2886	(6)	1787	1754	2	938	1131	(17)
Wealth Management Americas	1338	1485	(10)	1384	1552	(11)	(47)	(67)	30
Global Asset Management	473	522	(9)	359	405	(11)	114	117	(3)
Investment Bank	1842	4101	(55)	2248	2788	(19)	(406)	1314	
Treasury und übrige Aktivitäten	280	191	47	61	72	(15)	219	119	84
UBS	6658	9185	(28)	5840	6571	(11)	818	2614	(69)

Zusätzliche Informationen

Mio. CHF (Ausnahmen sind angegeben)	30.9.10	Per 30.6.10	Per 31.12.09
Verwaltete Vermögen (Mrd. CHF)	2180	2180	2233
Personalbestand (auf Vollzeitbasis)	64583	63876	65233
Börsenkapitalisierung ¹	63898	55393	57108

¹ Für nähere Angaben siehe Anhang «UBS registered shares» im Quartalsbericht zum 30. September 2010.

Cautionary Statement Regarding Forward-Looking Statements | This document contains statements that constitute “forward-looking statements”, including but not limited to management’s outlook for UBS’s financial performance and statements relating to the anticipated effect of transactions and strategic initiatives on UBS’s business and future development. While these forward-looking statements represent UBS’s judgments and expectations concerning the matters described, a number of risks, uncertainties and other important factors could cause actual developments and results to differ materially from UBS’s expectations. These factors include, but are not limited to: (1) future developments in the markets in which UBS operates or to which it is exposed, including movements in securities markets, credit spreads, currency exchange rates and interest rates; (2) the effect of the current economic environment or other developments on the financial position or creditworthiness of UBS’s clients and counterparties; (3) changes in the availability of capital and funding, including any changes in UBS’s credit spreads and ratings; (4) the ability of UBS to retain earnings and reduce its risk-weighted assets in order to comply with recommended Swiss capital requirements without adversely affecting its business; (5) other changes and possible prospective changes (announced or unannounced) in financial regulation in Switzerland, the US, the UK and other major financial centers which may impose constraints on or necessitate changes in the scope and location of UBS’s business activities and in its legal and booking structures, including the imposition of more stringent capital and liquidity requirements, incremental tax requirements and constraints on remuneration, some of which may affect UBS in a different manner or degree than they affect competing institutions; (6) the outcome and possible consequences of pending or future inquiries or actions concerning UBS’s cross-border banking business by tax or regulatory authorities in various jurisdictions; (7) the degree to which UBS is successful in effecting organizational changes and implementing strategic plans, and whether those changes and plans will have the effects intended; (8) UBS’s ability to retain and attract the employees necessary to generate revenues and to manage, support and control its businesses; (9) changes in accounting standards or policies, and accounting determinations affecting the recognition of gain or loss, the valuation of goodwill and other matters; (10) limitations on the effectiveness of UBS’s internal processes for risk management, risk control, measurement and modeling, and of financial models generally; (11) changes in the size, capabilities and effectiveness of UBS’s competitors; (12) the occurrence of operational failures, such as fraud, unauthorized trading and systems failures, either within UBS or within a counterparty; and (13) technological developments. Our business and financial performance could be affected by other factors identified in our past and future filings and reports, including those filed with the SEC. More detailed information about those factors is set forth in documents furnished by UBS and filings made by UBS with the SEC, including UBS’s Annual Report on Form 20-F for the year ended 31 December 2009. UBS is not under any obligation to (and expressly disclaims any obligation to) update or alter its forward-looking statements, whether as a result of new information, future events, or otherwise.