

# **Engagement Policy (extract)**

Lantern Structured Asset Management Limited

## **Engagement policy**

Lantern performs the investment management or portfolio management function in-house for several investment vehicles, and delegates the function to third party investment managers for other investment vehicles.

In respect of the investment vehicles for which Lantern performs the investment management function in-house, the investment mandate agreed with the client is deterministic in nature. Consequently, various shareholder engagement elements, including the integration of shareholder engagement into the investment strategy, monitoring of and dialogue with investee companies, co-operation with other shareholders and communication with stakeholders are considered as part of the design and implementation of the deterministic mandate. Lantern has a voting policy in place and complies with that policy in the exercise of votes attaching to shares. Additionally Lantern has a conflicts of interest policy in place which sets out its guiding principles and measures in place to identify, record and prevent and/or manage actual and potential conflicts of interest, including in respect of its shareholder engagement activities. Lantern shall, on an annual basis, publicly disclose how its engagement policy has been implemented.

In respect of the investment vehicles for which Lantern delegates the investment management function, Lantern relies on the engagement and voting policies of the third party investment managers, and Lantern relies on the annual reports produced by the third party investment managers outlining how their engagement policy has been implemented.