



# Part 2B of Form ADV: Brochure Supplement

April 2026, Item 1: Cover page

Unified Global Alternatives

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This brochure supplement provides information about Diana Celotto, Sven-Christian Kindt, David Kozlicki, Edoardo Rulli, Joseph Sciortino, and George Smith that supplements the Form ADV Part 2A for Unified Global Alternatives ("UGA"), a collaboration between UBS Global Wealth Management ("GWM") and UBS Asset Management ("AM"). You should have received a copy of that brochure. Please contact [OL-UGA-ADV@ubs.com](mailto:OL-UGA-ADV@ubs.com) or call +1-212-713 2000 if you did not receive a Unified Global Alternatives brochure or if you have any questions about the contents of this supplement.

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# Edoardo Rulli

April 2026, Brochure supplements: ADV Part 2B

## **Item 2:**

### **Education background and business experience**

Years of investment industry experience: 24

Education: Bocconi University (Italy), BSc in Economics and Social Sciences

Edoardo Rulli is the Head of Hedge Funds at Unified Global Alternatives (“UGA”), based in London. UGA combines the manager selection capabilities from across UBS’s Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

Edoardo is responsible for managing and overseeing investments of third-party active investment strategies across hedge funds. He leads the global Hedge Funds investment team and oversees all aspects of portfolio management, asset allocation and manager selection.

Edoardo was previously the Chief Investment Officer (CIO) and Head of UBS Hedge Fund Solutions (HFS), where he was also a member of the HFS Management and Senior Investment Forums, and Chair of the Review Committee. Edoardo was also a member of UBS Asset Management Investments Management Committee.

Edoardo is a Senior Manager Function Holder for UBS AM (UK) Ltd. He also sits on the UBS Optimus Foundation (UK) Limited Board, which supports organizations that are working to transform educational outcomes in the UK.

Prior to re-joining UBS in 2016, Edoardo was Head of Research at Falcon Money Management where he joined as partner in 2009.

Prior to that in 2008, he was a Director at UBS A&Q AIS, the predecessor unit of HFS, in London.

Before that, Edoardo was a Senior Analyst at Tremont Capital Management in London in 2004, a multi-billion fund of funds.

Edoardo started his career as an analyst in 2001 at Rasini & C., researching European and Asian hedge funds.

## **Item 3:**

### **Disciplinary information**

Edoardo Rulli has no reportable disciplinary history.

## **Item 4:**

### **Other business activities**

Hedge Funds - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

UBS Asset Management (Americas) LLC is required to disclose if any supervised person is actively engaged in any investment related business or occupation. In addition, if a supervised person

actively engaged in a business or occupation that provides a substantial source of their income or involves a substantial amount of their time, we must also disclose this.

**Investment related activities:** Edoardo Rulli is not engaged in any other investment-related activities.

Edoardo Rulli does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** Edoardo Rulli is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## **Item 5:**

### **Additional compensation**

Edoardo Rulli does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6:**

### **Supervision**

Supervisor: Johannes Roth and Jerry Pascucci

Title: Co-Heads of Unified Global Alternatives

Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

Edoardo Rulli's activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.

# Diana Celotto

April 2026, Brochure supplements: ADV Part 2B

## Item 2:

### Education background and business experience

Years of investment industry experience: 22

Education: University of Exeter (UK), BA in Economics and Finance

Diana Celotto is the Global Co-Head of Private Equity at Unified Global Alternatives (“UGA”), based in London. UGA combines the manager selection capabilities from across UBS’s Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

Diana is responsible for investment selection and portfolio management for Private Equity globally on behalf of UBS’s Wealth Management and Asset Management clients, covering Primaries, Secondaries and Co-investments selection which feed into both Platform and Portfolio solutions. Her role covers both closed-end traditional private markets fund structures as well as open-end evergreen fund solutions.

Previously, Diana was Head of Platform and Primary Investments on behalf of UBS Wealth Management’s Swiss & International division, covering Private Equity, Infrastructure and Private Credit. She joined UBS Private Markets Investment Team in 2008. She started her career 2004 in equity portfolio management for private clients at Eden Financial.

Diana is a CFA charterholder and a member of the CFA Society of London.

## Item 3:

### Disciplinary information

Diana Celotto has no reportable disciplinary history.

## Item 4:

### Other business activities

Private Equity - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

UBS Asset Management (Americas) LLC is required to disclose if any supervised person is actively engaged in any investment related business or occupation. In addition, if a supervised person

actively engaged in a business or occupation that provides a substantial source of their income or involves a substantial amount of their time, we must also disclose this.

**Investment related activities:** Diana Celotto is not engaged in any other investment-related activities.

Diana Celotto does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** Diana Celotto is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

## Item 5:

### Additional compensation

Diana Celotto does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 6:

### Supervision

Supervisor: Johannes Roth and Jerry Pascucci

Title: Co-Heads of Unified Global Alternatives

Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

Diana Celotto activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.



# Joseph Sciortino

April 2026, Brochure supplements: ADV Part 2B

## **Item 2:**

### **Education background and business experience**

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Years of investment industry experience: 26

Education: Rensselaer Polytechnic Institute (USA), B.S. Financial Management

Joe Sciortino is the Head of Private Credit at Unified Global Alternatives ("UGA"), based in New York. UGA combines the manager selection capabilities from across UBS's Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

Joe manages market research and due diligence across UGA's private credit strategies. His credit team invests across the liquidity spectrum focusing on corporate credit, structured products, specialty lending, real estate, and insurance strategies.

He is also the Head of the private credit investment committee and co-portfolio manager for all credit products and customized mandates within the multi-manager business.

He was previously Head of Investments for the Americas for UBS Hedge Fund Solutions (HFS), and a member of the HFS Management as well as the Senior Investment Forums and Review Committee.

During his tenure at UBS, he has overseen the launch of several products and customized client accounts.

Prior to joining UBS in 2006, Joe was a Senior Investment Analyst at Lake Partners, Inc., a multi-billion-dollar consultant specializing in alternative investments, where he was responsible for manager research, portfolio management and directing the junior research analysts.

## **Item 3:**

### **Disciplinary information**

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Joseph Sciortino has no reportable disciplinary history.

## **Item 4: Other business activities**

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Private Credit - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

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Joseph Sciortino holds a Series 7 license and is 63 licensed.

**Investment related activities:** Joseph Sciortino is not engaged in any other investment-related activities.

Joseph Sciortino does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** Joseph Sciortino is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## **Item 5:**

### **Additional compensation**

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Joseph Sciortino does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6:**

### **Supervision**

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Supervisor: Johannes Roth and Jerry Pascucci

Title: Co-Heads of Unified Global Alternatives

Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

Joseph Sciortino's activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.

# Sven-Christian Kindt

April 2026, Brochure supplements: ADV Part 2B

## Item 2:

### **Education background and business experience**

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Years of investment industry experience: 18

Education: ESCP Europe (Paris/Oxford/Berlin), European Master in Management

Sven-Christian Kindt is the Global Co-Head of Private Equity at Unified Global Alternatives (“UGA”), based in Zurich. UGA combines the manager selection capabilities from across UBS’s Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

His primary responsibility is to lead investment activities across private equity portfolio solutions, including private equity separately managed accounts.

Previously, Sven-Christian was head of private equity portfolio solutions at Credit Suisse, before the bank’s integration into UBS. As part of this, he initiated and led portfolio management activities for proprietary products Seasons Global and Climate Innovation Fund, as well as for bespoke evergreen mandates. In addition, he oversaw global investment activities across Credit Suisse’s private client feeder program until 2021.

Prior to joining Credit Suisse in Zurich in 2010, he was a member of Credit Suisse’s Private Funds Group based in London where he was responsible for private equity fund due diligence activities in EMEA and APAC.

Before joining Credit Suisse’s Private Funds Group in 2008, Sven-Christian worked as a management consultant at Bain & Company in London, Johannesburg and Sydney, as well as at A.T. Kearney in London.

## Item 3:

### **Disciplinary information**

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Sven-Christian Kindt has no reportable disciplinary history.

## Item 4:

### **Other business activities**

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Private Equity - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

UBS Asset Management (Americas) LLC is required to disclose if any supervised person is actively engaged in any investment related business or occupation. In addition, if a supervised person

actively engaged in a business or occupation that provides a substantial source of their income or involves a substantial amount of their time, we must also disclose this.

**Investment related activities:** Sven-Christian Kindt is not engaged in any other investment-related activities.

Sven-Christian Kindt does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** Sven-Christian Kindt is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## Item 5:

### **Additional compensation**

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Sven-Christian Kindt does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 6:

### **Supervision**

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Supervisor: Johannes Roth and Jerry Pascucci

Title: Co-Heads of Unified Global Alternatives

Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

Sven-Christian Kindt activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.

# David Kozlicki

April 2026, Brochure supplements: ADV Part 2B

## **Item 2:** **Education background and business experience**

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Years of investment industry experience: 19  
Education: University of Iowa (US), BA in Finance

David Kozlicki is the CIO and Head of the Real Estate team in Unified Global Alternatives (“UGA”), based in Chicago. UGA combines the manager selection capabilities from across UBS’s Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

David manages the global real estate business, focusing on investment performance, product growth, and strategy. He is the Chairman of the Investment Committee for the global real estate business under UGA. David is also a voting member of the Research Committee for real estate investments onboarded to the UGA Wealth Management Platform.

David was previously the Head of Multi-Managers Real Estate (MMRE) Americas, where he oversaw all Americas investment activity and the growth of the MMRE client and product franchise in the region. He also served as the Senior Portfolio Manager of a global flagship MMRE fund and a large separate account mandate.

David joined UBS in 2009 and led the Americas investment team, completing over 100 real estate transactions totaling more than USD 10bn in equity value.

Before joining UBS Asset Management, David was part of the Investment Team at First Industrial Realty Trust (NYSE:FR), underwriting industrial real estate investments and developments for the firm’s balance sheet and a joint venture with a large US state pension fund.

He began his real estate career in 2006 at Principal Financial Group (NYSE:PFG), where he completed the real estate co-op program focusing on commercial real estate debt closing. David is a CFA charterholder and a member of the CFA Society of Chicago.

## **Item 3:** **Disciplinary information**

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David Kozlicki has no reportable disciplinary history.

## **Item 4:** **Other business activities**

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Real Estate - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

UBS Asset Management (Americas) LLC is required to disclose if any supervised person is actively engaged in any investment related business or occupation. In addition, if a supervised person actively engaged in a business or occupation that provides a substantial source of their income or involves a substantial amount of their time, we must also disclose this.

**Investment related activities:** David Kozlicki is not engaged in any other investment-related activities.

David Kozlicki does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** David Kozlicki is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## **Item 5:** **Additional compensation**

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David Kozlicki does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6:** **Supervision**

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Supervisor: Johannes Roth and Jerry Pascucci  
Title: Co-Heads of Unified Global Alternatives  
Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

David Kozlicki activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.



# George Smith

April 2026, Brochure supplements: ADV Part 2B

## Item 2:

### **Education background and business experience**

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Years of investment industry experience: 40

Education: Pace University (USA), MBA in Finance;  
BBA Accounting

George Smith is the Head of Operational Due Diligence at Unified Global Alternatives (“UGA”), based in New York. UGA combines the manager selection capabilities from across UBS’s Asset Management and Global Wealth Management businesses to serve the needs of its UHNW, institutional and wholesale clients globally.

George leads the extensive operational analysis on all of the Hedge Funds, Private Equity, Private Credit, Real Estate, and Infrastructure investment managers that are reviewed each year. This includes both initial analysis on new managers and ongoing monitoring of existing ones.

Prior to joining UBS in 2006, George held a wide array of senior financial, operational and controllership positions within the financial services industry. This broad and deep experience gives George a unique perspective, grounded in first-hand knowledge of the operational infrastructure of financial firms.

## Item 3:

### **Disciplinary information**

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George Smith has no reportable disciplinary history.

## Item 4:

### **Other business activities**

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Operational Due Diligence - UGA, is a business unit of UBS Asset Management (Americas) LLC, a registered investment adviser.

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actively engaged in a business or occupation that provides a substantial source of their income or involves a substantial amount of their time, we must also disclose this.

**Investment related activities:** George Smith is not engaged in any other investment-related activities.

George Smith does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

**Non-investment related activities:** George Smith is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## Item 5:

### **Additional compensation**

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George Smith does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## Item 6:

### **Supervision**

---

Supervisor: Johannes Roth and Jerry Pascucci

Title: Co-Heads of Unified Global Alternatives

Phone: Johannes +41-44-237 28 91 / Jerry +1 212 882-5515

George Smith activities are also monitored by the compliance personnel and the supervisory structure of Unified Global Alternatives and UBS Asset Management (Americas) LLC.