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News Release

Shinichi Yokote Appointed President of UBS Securities Japan

Tokyo, October 30, 2025 - UBS Securities Japan Co., Ltd. (UBSSJ) today announced that **Shinichi Yokote** will become its President and Representative Director, effective November 4, 2025. Zenji Nakamura, who has headed UBSSJ since 2012, will become Chairman of UBSSJ and remain Representative Director of the entity. He will also remain UBS Group's Japan Country Head.

Prior to joining UBS, Yokote worked for 25 years at Goldman Sachs in its Global Markets division, most recently as that unit's Chairman. In conjunction with sales and trading, he has aligned closely with an array of asset management and investment banking functions including capital management strategy. He was named a partner at Goldman Sachs in 2006. Yokote began his career with Mitsubishi Bank's Fixed Income division.

"Shinichi Yokote has an unsurpassed track record of accomplishments, deep contacts, as well as local and global market expertise," said Zenji Nakamura. "UBS is expanding and deepening our footprint in Japan—his leadership will play a critical role in helping us exceed our goals."

About UBS
UBS is a leading and truly global wealth manager and the leading universal bank in Switzerland. It also provides diversified asset management solutions and focused investment banking capabilities. UBS manages 6.6 trillion dollars of invested assets as per second quarter 2025. UBS helps clients achieve their financial goals through personalized advice, solutions and products. Headquartered in Zurich, Switzerland, the firm is operating in more than 50 markets around the globe. UBS Group shares are listed on the SIX Swiss Exchange and the New York Stock Exchange (NYSE).

In Japan, the firm offers corporate, institutional, and high net worth private clients a full array of financial products and services through five business entities: UBS Japan Securities Co., Ltd., UBS AG Tokyo Branch, UBS Asset Management (Japan) Ltd, UBS Japan Advisors Inc., and UBS SuMi TRUST Wealth Management Co., Ltd.

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