

# Custodian GAM Dublin

## SWIFT Usage Guide

### Settlement Formatting Specialities

**Formats are compliant with SWIFT Standards Release 2013**

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# 1. General

## 1.1 Field 94/95 Format Options

Field 94/95	Format Options
Option A	(Qualifier)/(Account number)
Option B	(Qualifier)/DSS, Data Source Scheme)/(Code)
Option C	(Qualifier)/(Country Code)
Option E	(Qualifier)/(Narrative)
Option F	(Qualifier)/(Place of Safekeeping)/(BIC)
Option P	(Qualifier)/(BIC, Bank Identifier Code)
Option Q	(Qualifier)/(Name and address)
Option R	(Qualifier)/(DSS, Data Source Scheme)/(Proprietary Code)

## 1.2 Legend

Abbreviations	Explanation
BIC	ISO Bank Identifier Code
BUYR	Buyer
DEAG	Delivery agent
ID	Participant Identification
PSET	Place of Settlement
REAG	Receiving agent
SAFE	Place of Safekeeping or Safekeeping Account
SELL	Seller
TEXT	Free text format structure

### 1.3 Additional Information about the pattern

Fields entry	Explanation
<b>Black &amp; Bold</b>	Content non-variable
<b>Blue &amp; Bold</b>	variable

### 1.4 Status

Abbreviations	Explanation
C	Conditional
M	Mandatory Field
O	Optional

## 2. GAM Dublin Inhouse

### 2.1 MT540 Receive free (RFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95P::DEAG//GLAMIE2DXXX	M	• Identifying the delivering agent by use of the BIC (8 or 11 digits)
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	• Identifying the seller by use of the BIC (8 or 11 digits) • Identifying the seller by use of text format
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the account number with GAM
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement

## 2.2 MT542 Deliver free (DFP)

### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95P:REAG//GLAMIE2DXXX	M	• Identifying the receiving agent by use of the BIC (8 or 11 digits)
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	• Identifying the buyer by use of the BIC (8 or 11 digits)
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the account number with GAM
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement

### 3. GAM Dublin via Clearstream Lux

#### 3.1 MT540 Receive free (RFP)

##### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

##### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

##### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

##### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95P::DEAG//CEDELULLXXX	M	• Identifying the delivering agent by use of the BIC (8 or 11 digits)
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	• Identifying the seller by use of the BIC (8 or 11 digits) • Identifying the seller by use of text format
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the 5 digit CBL account number
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement

### 3.2 MT542 Deliver free (DFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95P::REAG//CEDELULLXXX	M	• Identifying the receiving agent by use of the BIC (8 or 11 digits)
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	• Identifying the buyer by use of the BIC (8 or 11 digits) • Identifying the buyer by use of text format
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the 5 digit CBL account number
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement



## 4. GAM Dublin via Euroclear

### 4.1 MT540 Receive free (RFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95P::DEAG//MGTCBEBEXX	M	• Identifying the delivering agent by use of the BIC (8 or 11 digits)
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	• Identifying the seller by use of the BIC (8 or 11 digits) • Identifying the seller by use of text format
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the 5 digit EOC account number
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement

**Important:** No fractions are deliverable to EOC participants.

## 4.2 MT542 Deliver free (DFP)

### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//Text	O	• Field for additional information

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	• Identifying the local custodian (market) of UBS by use of the BIC. <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E: Settlement details → SETDET

Field Name	:TAG::Field//Option/	Status	Notes
Indicator	:22F::BENE/ID	O	• Indicator of beneficial ownership by use Code <b>NBEN</b> (NCBO) or <b>YBEN</b> (CBO)

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95P::REAG//MGTCBEBEXX	M	• Identifying the receiving agent by use of the BIC (8 or 11 digits)
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	• Identifying the buyer by use of the BIC (8 or 11 digits) • Identifying the buyer by use of text format
Safekeeping Account	:97A::SAFE/Number	M	• Identifying the 5 digit EOC account number
Place of Settlement	:95P::PSET//GLAMIE2DXXX	M	• Identifying the place of settlement

**Important:** No fractions are deliverable to EOC participants.

## 5. UBS In-house

### 5.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC.</li> </ul> <p><b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.</p>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/SCOM/CH100025 or :95P::DEAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 8 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC	O	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 5.2 MT542 Deliver free (DFP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/GLAMIE2DXXX or :94B::SAFE//SHHE/3606	C	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC.</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG//SCOM/CH100025 or :95P::REAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 8 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of text format</li> </ul>
Safekeeping Account	:97A::SAFE//Number	M	<ul style="list-style-type: none"> <li>Identifying the account number of the beneficiary with UBS</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 6. Disclaimer

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