

Neil Smith

**UBS Financial Services Inc.**

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Backed by the global resources of UBS

With more than 150 years of wealth management experience at its core, UBS focuses on providing relevant guidance, differentiated global perspectives, diverse strategies and solutions for private, corporate and institutional clients worldwide. Drawing upon an integrated mix of investment banking and asset management businesses, we access the deep resources of our firm to deliver the trusted financial advice our clients seek today to confidently move forward.

Neil Smith works with the UBS Athletes and Entertainers business for Wealth Management USA. As a member of the team, Neil draws on his deep background as a Hockey Professional to help Financial Advisors understand and address the needs of this special group succeed off the ice.

The goal of the team is to facilitate holistic advice and planning for these high-profile individuals, and provide Financial Advisors who are focused on this segment with the resources they need to grow their business.

Neil joined UBS Financial Services in February 2018 after more than 35 years in various roles in the National Hockey League and was one of the most highly regarded executives in the NHL.

Neil served as President and GM New York Rangers from 1989-2000. In 1994 under his leadership, the Rangers won the Stanley Cup, their only championship in the past 81 years. Having twice been named NHL Executive of the Year, Smith brought many Hockey Hall of Fame players to the Rangers. He traded for legendary players such as Mark Messier, Mike Gartner, Kevin Lowe, and Adam Graves. In 1996 Wayne Gretzky and Neil agreed to a contract which would see hockey's greatest player retire as a Ranger.

During his hockey career, Neil served as General Manager/Governor of the Adirondack Red Wings of the AHL and Director of Scouting for the Detroit Red Wings. He has been an NHL consultant to the Anaheim Ducks, Dallas Stars, Pittsburgh Penguins and Mighty Ducks of Anaheim. Neil began his professional hockey career with New York Islanders, and joined the scouting department in 1980.

In his current role, Neil is the liaison between the UBS Arena, Islanders and UBS. He is responsible for ensuring that the Islanders and all visiting players, coaches and managers are fully supported during their time at the Arena. Neil also acts as the face of Hockey at UBS working with the Sports and Entertainment Segment.

A native of Toronto, Ontario, received his Bachelor of Science degree in Communications from Western Michigan University where he was an All-America defenseman. He was inducted into school's Sports Hall of Fame, as the first ever hockey player. He was inducted into the Communications Hall of Fame and awarded the Distinguished Alumni Award at WMU. Neil remains an avid hockey player and very active in hockey analysis and player evaluation and development.

Neil holds his Series 7 and 66 licenses and has licenses for property, casualty, life, health and accident insurance.

Important information about brokerage and advisory services. As a firm providing wealth management services to clients, UBS Financial Services Inc. offers investment advisory services in its capacity as an SEC-registered investment adviser and brokerage services in its capacity as an SEC-registered broker-dealer. Investment advisory services and brokerage services are separate and distinct, differ in material ways and are governed by different laws and separate arrangements. It is important that you understand the ways in which we conduct business and that you carefully read the agreements and disclosures that we provide about the products or services we offer. For more information, please review client relationship summary provided at ubs.com/relationshipssummary.