

James Jack

Managing Director, Head of the Business Owners and Multigenerational Wealth Client Segments



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James is the Head of both the Business Owners and Multigenerational Wealth Client Segments within the Strategic Client Segments team.

James took on the Business Owners Client Segment upon its creation in 2018 to build an organization that supports business owners and the Financial Advisors that advise them. He has worked with clients on pre-business sale exit planning, such as succession planning, M&A transaction planning, Employee Stock Ownership Plans (ESOPs), and the emotional and self-identity ramifications of exiting a business. During the COVID-19 pandemic, James and his team supported business owners in navigating pandemic programs like the Paycheck Protection Program. In 2023, James's remit expanded to include the Multigenerational Wealth Client Segment, which includes UBS's support of wealth transfer and transition strategies between generations and the Rising Gen. James and his team work to bring the best of UBS's resources to these important client segments.

James has been with UBS since 2006, previously working in the WMA Banking Group to build banking, cash and lending solutions that meet the needs of High Net Worth and Ultra High Net Worth individuals and the Financial Advisors that support them. James has driven and executed strategies to expand securities-based lending, develop a proprietary credit card tailored to UBS's unique client base and helped to manage UBS's deposit program, including pricing, sales, and product development programs.

James holds a BS in Finance and Economics from New York University's Stern School of Business.

Outside of UBS, James is a member of the board of directors and co-treasurer for the Bronx Academy of Letters Foundation, supporting a public middle and high school in the poorest congressional district in the US, and enjoys experiencing travel and cultures different from his own. He also holds FINRA Series 7 license and Certified Exit Planning Advisor (CEPA®) designation.

Carrie J. Larson, CFP®

Executive Director, Senior Wealth Strategist, Advanced Planning Group



**Executive Director
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Carrie works with ultra-high net worth clients of UBS helping them achieve their estate planning, wealth preservation, and philanthropic goals. She focuses on developing and implementing comprehensive wealth transfer strategies to assist clients with their complex financial needs and management of wealth. Carrie also reviews clients' estate planning documents to help ensure their plan accurately reflects the family's needs and objectives, while leveraging their gift, estate, and generation-skipping transfer (GST) tax exemptions in a tax-efficient manner.

Prior to joining UBS in October 2016, Carrie was a Financial Planner in the Goldman Sachs Family Office. She aided current and retired Goldman Sachs Partners and their families in achieving their wealth planning goals in individual income tax planning, estate planning, wealth succession planning, insurance needs, and philanthropic endeavors.

Graduating from the University of Minnesota, Carrie received a B.A. in Psychology. She went on to earn her J.D. from New York Law School.

Carrie holds the Certified Financial Planner® designation, as well as FINRA Series 7 and 66 Licenses. Carrie is a licensed attorney in the state of New York and a member of the New York State Bar Association.

Brian Fischbein

Managing Director, Investment Banking, Private Financing Markets & OneBank Coverage



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Brian is a Managing Director based in our West Palm Beach office focused on investment banking coverage in the Mid-Atlantic, Texas and Southeast regions. Previously, Brian was a Managing Director in the Technology, Media & Telecom investment banking group based in New York.

Brian covered the information services and data & analytics sectors and has broad experience across the technology, media and telecommunications landscape. Brian has advised on over \$100bn of announced M&A transactions and capital raisings. Brian joined UBS in 2018 from Citigroup where he was a Managing Director in the Global Communications group and was previously with Lehman Brothers and Barclays Capital.

Prior to his career in investment banking, Brian was a CPA with Ernst & Young and Arthur Andersen. Brian earned his MBA, with honors, from the University of Chicago Booth School of Business and his BS and MS in Accounting from the University of Florida.

Selected Transactions Include:

- MyUS sale to Aramex
- Production Studio JV with Investment Firm (Private)
- WMG Real Estate sale to Public REIT
- Fenergo Ltd. sale to private equity consortium
- Steele Compliance Solutions sale to Diligent Corp.
- Advent International acquisition of Nielsen Connect
- Semios Bio Series B Capital Raise
- Regulatory Data Corp sale to Moody's
- Indigo Ag private capital raise
- Blackstone acquisition of Thomson Reuters Financial & Risk
- Sprint sale to Softbank
- Onex acquisition of Clarivate Analytics
- Sprint acquisition of Clearwire
- China Oceanwide acquisition of IDG Communications
- IPO of Emerald Expositions
- IPO of AMC Entertainment
- IPO of Laureate Education
- IPO of Houghton Mifflin Harcourt
- IPO of National CineMedia
- HotChalk stake sale to Bertelsmann
- Moody's acquisition of BvD
- AMC Entertainment sale to Dalian Wanda Group

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