

UBS Implementation Guidelines

DFÜ Agreement of Deutsche
Kreditwirtschaft for Cash Management
Reports

camt.052.001.02 - DK Version 3.0



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1. Cash Management Reports

1.1 Scope of application of this document

UBS provides implementation guidelines for the following Bank-to-Customer Cash Management Reports:

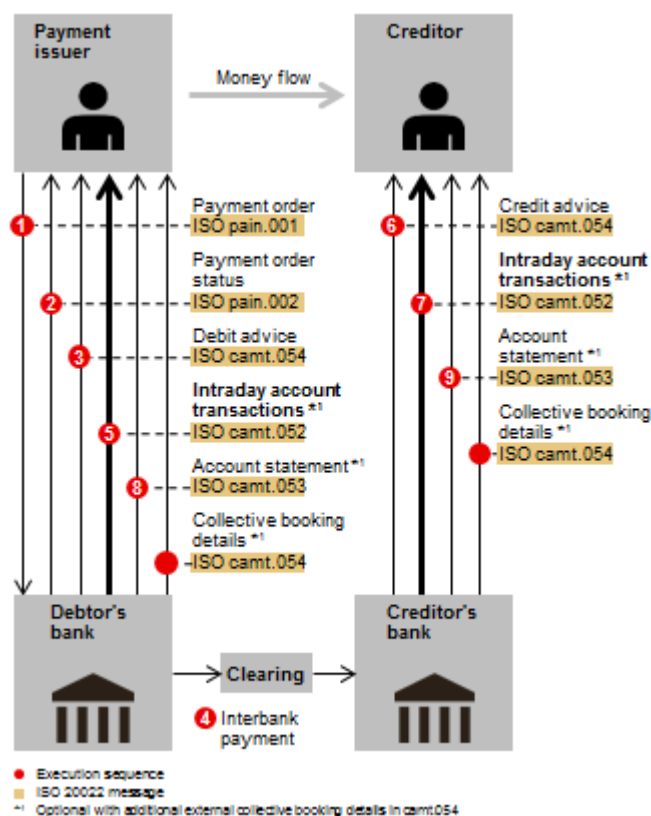
Name	Definition
camt.052	Account report
camt.053	Statement
camt.054	Collective booking details
camt.054	Debit/ Credit notification

This specific brochure is designed to inform you about technical aspects for the camt.052 message at UBS. The document is valid within the following scope of application:

Characteristics	Scope of application
Use of message	Account report
Product	camt.052 Bank-to-Customer Report
Service	Cash management report
Message type available to	Debtor and creditor
Recommendation	Deutsche Kreditwirtschaft (DK)
Schema	camt.052.001.02
Implementation Guide Version	3.0 – 12.05.2016
Equivalent SWIFT messages	<ul style="list-style-type: none"> MT941: Balance Report MT942: Interim Transaction Report
Available through UBS electronic interfaces	For clients of UBS Europe SE <ul style="list-style-type: none"> UBS KeyPort (planned as of May 2017)

1.2 Flow of messages in accordance with DFÜ Agreement of Deutsche Kreditwirtschaft (DK)

The message standard recommended by Deutsche Kreditwirtschaft (DK), in the DFÜ Agreement Appendix 3, is based on the ISO 20022 Payments Standard. The chart below provides an overview of the flow of messages currently supported by UBS and shows the use of all camt reports in the context of the end-to-end message flow:



2. Technical specifications

2.1 UBS Implementation

The UBS Implementation Guidelines for Cash Management Reports – this document - contains technical specifications and instructions for the technical and business implementation of camt.052, camt.053 and camt.054 messages in accordance with the DFÜ Agreement of Deutsche Kreditwirtschaft (see section 1.1) and consequently also the ISO 20022 Payments Standard.

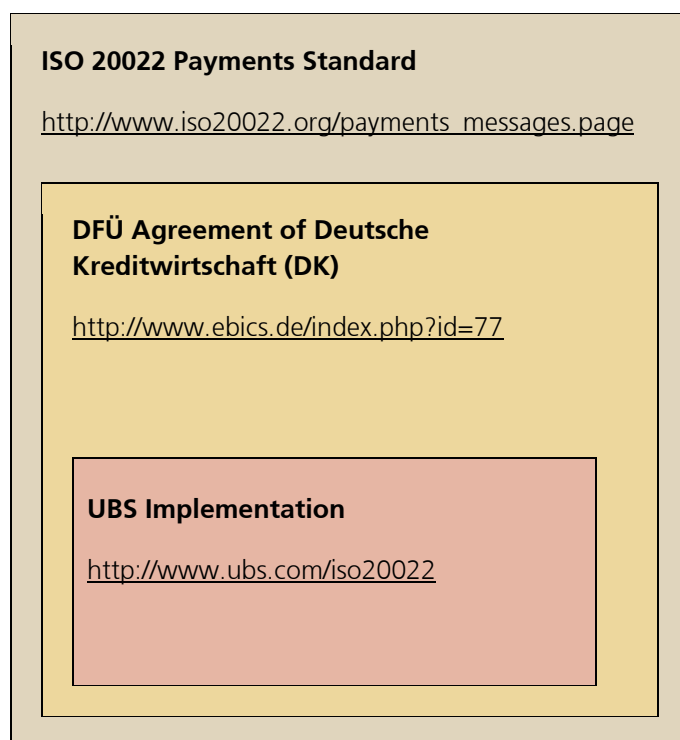
All Cash Management Reports transmitted from UBS comply with ISO 20022 Payments Standard, DFÜ Agreement of Deutsche Kreditwirtschaft and the UBS Implementation as outlined in this document.

The diagram beside shows the interaction of the ISO 20022 Payments Standard, DFÜ Agreement of Deutsche Kreditwirtschaft (DK) and UBS Implementation with a link to the respective rules and guidelines that must be adhered to.

2.2 Structure of camt.052 message

The camt.052 Bank-to-Customer Report message is essentially structured as follows:

- **A-Level:** message level, "Group Header". This block contains all the elements that apply to all the transactions in the report. It occurs exactly once in the message.
- **B-Level:** account level, "Account Report". The entries in this block correspond in camt.052 to account movements.
- **C-Level:** amount level, "Report Entry". This block contains the sub-elements which describe a single entry on the account. One entry can combine several transactions.
- **D-Level:** amount details, "Entry Details". This block contains booking details about the entry.



2.3 Reference to camt.053 message

A definition of individual XML elements according to the DFÜ Agreement of Deutsche Kreditwirtschaft (DK, Version 3.0) based on the ISO 20022 Payments Standard is available in detail in the UBS Implementation Guidelines (DK) camt.053. The definitions generally also apply for camt.052 except for the deviations stated below.

2.4 Deviations to camt.053 message

Unlike the "Bank-to-Customer Statement" (camt.053), the "Bank-to-Customer Report" (camt.052) message contains intraday account movements and corresponds to the SWIFT messages MT941 and MT942. Generally, the customer receives such reports at regular intervals (e.g. hourly).

The name and data type of the contained elements is "Report" instead of "Statement". The term "Report" is also used instead of "Statement" as a component of all subfields (e.g. as part of a term such as "Additional Report Information" instead of "Additional Statement Information"). The structure of the content of this new data type is the same except for the aspects described below.

- Balance <Bal>, B-Level
All movements during the day which have the status "BOOK" or "PDNG" are taken into account when calculating the intraday balance.
- Entry Status <Sts>, C-Level
In addition to the status "BOOK" in camt.053, in camt.052 the status "PDNG" can also be sent.

UBS Europe SE
P.O. Box 102042
60020 Frankfurt/Main
Germany

Phone +49 69 21790

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