

Securities deliveries

Price list

Valid from 12 February 2013

Rates for deliveries are charged per item for equities, precious metals, coins, investment funds (UBS and third-party), bonds and valueless securities.

Deliveries free of payment (DFP)

Inward deliveries

Inward deliveries free of payment physical/ non-physical	free of charge
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Outward deliveries

Swiss securities

Non-physical deliveries to third-party banks	100 CHF
Physical outward delivery at post office ¹ /counter	250 CHF
Physical outward delivery of UBS investment funds at post office ¹ /counter	250 CHF
Physical outward delivery of UBS equities and conversions of their registration at post office/counter ²	free of charge
Changes of custodian bank	150 CHF
Conversions of registration	30 CHF

Foreign securities

Non-physical deliveries to third-party banks	100 CHF
Physical outward delivery at post office ¹ /counter	500 CHF
Physical outward delivery of UBS investment funds at post office ¹ /counter	250 CHF
Changes of custodian bank	150 CHF
Conversions of registration	50 CHF

Policies/mortgage notes/sealed envelopes

Outward delivery of policies, mortgage notes and sealed envelopes at post office ¹ /counter	free of charge
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Custody account transfers

Transfers free of payment within UBS AG Switzerland	free of charge ³
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Deliveries versus payment (DVP)

Inward deliveries

Inward deliveries versus payment	150 CHF
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Outward deliveries

Outward deliveries versus payment	150 CHF
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Over-the-counter business

Capital transactions⁴

Delivery of titles stored in Switzerland	250 CHF
Delivery of titles stored abroad (including external expenses)	500 CHF

Coupons/Redeemable titles⁵

Coupons/Redeemable titles in CHF	free of charge
Coupons/Redeemable titles in other currencies	
Paying agent UBS AG Switzerland	free of charge
Paying agent not UBS AG Switzerland	4% Coupons (gross amount) 0.5% title (notional amt.)
External expenses	effect. charged external expenses
Collection of barred coupons/titles (Paying agent UBS AG)	100 CHF
Collection of lost coupons/titles (Paying agent UBS AG)	350 CHF plus effect. outlay

Other Securities Services

Exercise of Warrants /

Conversion of titles

The exercise of warrants and the conversion of titles (e.g. for convertible bonds) are not included in the custody fee. The commission (brokerage fee) is calculated based on the prices for securities trading (strike price). It will only be charged, if UBS AG has not already been compensated by the company accordingly.

Purchase/selling of rights

The purchase/selling of rights in connection with capital transactions is not included in the custody fee. It is calculated based on the prices for securities trading ("brokerage fee").⁶

Further Securities Services

Prices for other Securities Services will be defined according to the individual effort.

¹ Plus postage costs

² Outward only possible for already issued certificates. The UBS share register doesn't issue new securities anymore with immediate effect

³ costs for other services in this connection, such as registration conversions, will however be charged.

⁴ Rates will only be charged, if UBS does not receive a commission. Value added tax will be calculated based on the commission.

⁵ The minimum per entry are 50 CHF coupons, respectively 100 CHF titles (if coupons and titles are handed in simultaneously the latter minimum will count). If fees due to third-party commission occur, they will be debited in addition. Payment in cash is only feasible for titles in CHF (max. 5'000 CHF incl. UBS-medium term bonds). Usually no payment is being made with regards to loss declaration or guarantee (revers). For payment in cash or account credit for clients domiciled in CH/LIE, value added tax will be calculated based on the commission.

⁶ Exception: For transactions up to 100 CHF counter value the general calculation basis is 10% without a minimum

Special terms:

- The rates for delivering foreign securities generally include any third-party fees charged by the custodian bank. Any extra expenses incurred by the bank or the custodian bank (such as express surcharges, extraordinary insurance costs, postage costs) will, however, be charged in addition.
- For clients domiciled in Switzerland or Liechtenstein, the rates for deliveries are subject to value-added tax (exception: deliveries versus payment are exempt from value-added tax).

UBS reserves the right to adjust prices and services at any time.

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