NATIONAL INSTRUMENT 24-101 INSTITUTIONAL TRADE MATCHING AND SETTLEMENT

TRADE MATCHING STATEMENT

To: All trade matching parties providing trade orders to, acting on behalf of, or executing a trade with:

UBS Securities Canada Inc.
Suite 4000 P.O. Box 617
Toronto, Ontario M5J 2S1
Tel: 416-364-3293

This Trade Matching Statement is being provided in accordance with National Instrument 24-101 – Institutional Trade Matching and Settlement (“National Instrument”) and its Companion Policy 24-101CP (“Companion Policy”). It applies to all trades that are subject to the National Instrument.

I confirm that the Entity has established, maintains and enforces policies and procedures designed to achieve trade matching in accordance with the National Instrument.

Lisa Petrelli, Head of Global Markets, Canada
Date signed: 10/10/2023

Notes:
1. This form has been provided and approved by the Canadian Capital Markets Association, and reviewed and endorsed by the Canadian Investment Regulatory Organization.

Standardized Trade Matching Statement - v20230926