



Additional Application Form

UBS Asset Management (Australia) Ltd

Use this form if you are an existing investor and wish to make an additional investment.

Please complete all sections in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

HOW TO COMPLETE THIS FORM

Step 1 Read and ensure you understand the Product Disclosure Statement (PDS) for the Fund you are making an investment in.

The PDS is available on our website www.ubs.com/au/en/asset_management/prices/pds-and-reports.html, or from your Financial Adviser.

Step 2 Please ensure that you have completed the following:

- written your investor number and investor name as it appears on your latest statement
- written the amount in Australian dollars
- selected the standing instruction box (if applicable)
- selected the payment method you would like to use
- signed the form as per the 'Acknowledgements and signatures' in **section 8**.

Step 3 Send your documents to us.

You can return your forms by post, fax or email according to the details below:

You can return your forms by post to:

UBS Asset Management (Australia) Ltd
GPO Box 804
Melbourne VIC 3001

Send by Fax: 1300 073 090 or +61 3 9977 5800

Scan and email to: ubs_transactions@unitregistry.com.au

Please include your investor number in the subject line of your email.

Step 4 Transfer your application money to us.

Please refer to **section 4** 'payment of application amount'.

LEGAL NOTICES

UBS Asset Management (Australia) Ltd ABN [31 003 146 290], Australian Financial Services Licence [222605], is the Responsible Entity and issuer of this Additional Application Form.

Your privacy is important to us. We will treat all personal information in accordance with UBS Asset Management (Australia) Ltd's Privacy Policy. A copy of the Privacy Policy can be obtained by calling 1800 572 018 or +61 3 9046 4041 or from UBS Asset Management (Australia) Ltd's website: www.ubs.com/au/en/asset_management/privacy-policy.html

Last updated: 28 June 2023

1. INVESTOR DETAILS

Investor number

Investor name

2. INVESTMENT DETAILS AND DISTRIBUTION INSTRUCTIONS

Please specify the amount(s) you wish to invest. The minimum additional investment is AUD\$1,000 for each Fund.

If you are an existing unit holder in the Fund for which you are applying, the distribution choice below will override any pre-existing election.

3. INVESTMENT AND DISTRIBUTION INSTRUCTIONS

Fund name	APIR	Investment amount AUD\$	Income distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest as additional units
UBS Australian Share Fund	SBC0817AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies Fund	UBS0004AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies SIV Fund (Significant Investor Visa)	UBS0063AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Emerging Markets Equity Fund	UBS8018AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Microcap Fund	UBS0057AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Share Fund	SBC0822AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Future Leaders Global Small Companies Fund	UBS6028AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Property securities and infrastructure				
UBS CBRE Global Infrastructure Securities Fund	UBS0064AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Global Property Securities Fund	HML0016AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Property Securities Fund	SBC0816AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed income and cash				
UBS Australian Bond Fund	SBC0813AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Cash Fund	SBC0811AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Short-Term Fixed Income Fund	SBC0812AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Diversified Fixed Income Fund	SBC0007AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Global Dynamic Bond Fund	UBS7109AU			
UBS Income Solution Fund	UBS0003AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Bond Fund	SBC0819AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Fund name	APIR	Investment amount AUD\$	Income distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest as additional units
Multi-asset				
UBS Balanced Investment Fund	SBC0815AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Defensive Investment Fund	SBC0814AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

4. PAYMENT OF APPLICATION AMOUNT

Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

EFT
 Direct debit
 BPAY®
 Cheque

EFT	Electronic Funds Transfer
Account name:	National Nominees Ltd OCA, UBS Application Account
BSB:	083-043
Account number:	860406676
Your reference:	Please use the name of the investor

Direct debit authority - Australian bank accounts only

You can allow us to deduct your application amount directly from your nominated financial institution account by completing the direct debit request (DDR) services agreement at the end of the application form. This debit will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated. The DDR Service Agreement with National Australia Bank Limited (NAB). This agreement is designed to explain what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider.

Financial institution name	Branch name
<input type="text"/>	<input type="text"/>
Account name	<input type="text"/>
BSB number	Account number
<input type="text"/>	<input type="text"/>

I/We request and authorise OneVue Fund Services Pty Ltd ABN 18 107 333 308 (User ID 411595) to arrange, through its own financial institution, a debit to the nominated account as deemed payable by our administrator.

Signature of primary account holder

Full name

Date (DD/MM/YYYY)

Signature of joint account holder (if applicable)

Full name

Date (DD/MM/YYYY)

BPAY® - Telephone & Internet Banking

You can make your payment using telephone or internet banking.

You will need to quote the Fund biller code and your investor number when making your payment. Biller codes are listed below.

If this is a new investment, we will notify you of your account number once this is available. Please make your payment within 14 days of this notification.

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.

More info: www.bpay.com.au

®Registered to BPAY Pty Ltd ABN 69 079 137 518

Fund name	BPAY® details
UBS Australian Bond Fund	Biller code 269340 Reference number: your investor number
UBS Australian Share Fund	Biller code 270058 Reference number: your investor number
UBS Australian Small Companies Fund	Biller code 269407 Reference number: your investor number
UBS Balanced Investment Fund	Biller code 269332 Reference number: your investor number
UBS Cash Fund	Biller code 269415 Reference number: your investor number
UBS CBRE Global Infrastructure Securities Fund	Biller code 269357 Reference number: your investor number
UBS CBRE Global Property Securities Fund	Biller code 269365 Reference number: your investor number
UBS CBRE Property Securities Fund	Biller code 269423 Reference number: your investor number
UBS Defensive Investment Fund	Biller code 270033 Reference number: your investor number
UBS Diversified Fixed Income Fund	Biller code 270017 Reference number: your investor number
UBS Emerging Markets Equity Fund	Biller code 290304 Reference number: your investor number
UBS Future Leaders Global Small Companies Fund	Biller code 399147 Reference number: your investor number
UBS Global Dynamic Bond Fund	Biller code 407445 Reference number: your investor number
UBS Income Solution Fund	Biller code 269126 Reference number: your investor number
UBS International Bond Fund	Biller code 269456 Reference number: your investor number
UBS International Share Fund	Biller code 269449 Reference number: your investor number
UBS Microcap Fund	Biller code 269431 Reference number: your investor number
UBS Short-Term Fixed Income Fund	Biller code 269357 Reference number: your investor number

Cheque

Please make your cheque payable to **National Nominees Limited ANF UBS Asset Management (Australia) Ltd**. Attach the cheque with your original application forms when posting. Please cross and write 'non-negotiable' on Australian cheques only.

5. FACSIMILE INDEMNITY

If you are advising us via facsimile in respect of instructions (including applications, withdrawal requests and switching requests) you should be aware of the following conditions:

- we will only process your facsimile instructions if it has been received by us in full. We are not responsible for any loss or delay that results from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence of receipt of the facsimile by us
- we do not take responsibility for any fraudulently completed facsimile transactions and we will not compensate you for any losses. For example, you bear the risk that a fax may be sent by someone who knows your account details
- in the event of fraud taking place, you agree to release, discharge and indemnify UBS Asset Management (Australia) Ltd from and against all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities (however they arise) to the extent permitted by law, suffered by you or suffered by or brought against us, in respect of the facsimile instructions.

6. PRIVACY

Keeping us informed

Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your Investor Number when you contact us.

Collecting and using your information

We collect information for the following purposes:

- to process your application;
- to administer your investment and provide you with reports;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. These include credit agencies, financial advisers, fund managers or intermediaries and spouses. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

Collecting and using your information

We exchange your personal information with your consultant/ adviser and third parties appointed by your consultants/adviser if you complete **section 10** of the Application Form, or if you request us to, and to any other authorised representative of yours (such as your accountant or lawyer) from time to time.

In addition, we may exchange personal information about you in the following circumstances:

- you consent to the disclosure;
- with any joint investor;
- with companies that provide services to us, to our related companies, to the Fund, or on our behalf (and our related companies may also exchange personal information with these companies) - for example administration, custody, investment management, technology, identity verification, auditing, registry, mailing or printing services; or
- where required or authorised by law, which may include disclosures to the Australian Taxation Office and other Government or regulatory bodies; or
- with organisations related to us whether in Australia or any overseas jurisdiction ('Related Companies').

In some cases, the types of organisations referred to above to whom we will disclose your personal information may be located in Switzerland, China, Hong Kong, India, New Zealand, Poland, Singapore, United Kingdom, United States of America and other countries.

7. FOREIGN ACCOUNT TAX COMPLIANCE ACT AND COMMON REPORTING STANDARD (FATCA/CRS)

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit www.ato.gov.au.

If you are unsure of any of how our due diligence and reporting obligations under the FATCA/CRS may affect you, please contact a legal or accounting professional.

8. ACKNOWLEDGEMENTS AND SIGNATURES

When you apply to invest, you (the applicant) are telling us:

- you have received, read and understood the current PDS,
- monies deposited are not associated with crime, money laundering or terrorism financing, nor will monies received from your account have any such association,
- you are not bankrupt or a minor, and
- you agree to be bound by the constitution of the Fund and the PDS as supplemented, replaced or re-issued from time to time.

Collecting and using your information

Individual - where the investment is in one name, the account holder must sign.

Joint Holding - where the investment is in more than one name, all of the account holders must sign.

Companies - where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust - the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney - if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living. **Important information**

- nothing in this form is advice and 'help' is general guidance only. Seek professional advice to be sure of your answers
- it is a condition of investing that you keep your details (including tax detail) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and emailing, faxing or posting it to our Administrator.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you represent your tax information stated on the Application Form or Tax Information Form you have previously completed remains valid. Otherwise, you must provide an updated Tax Information Form together with this form
- you undertake that if information in this form changes, you will tell us within 30 days
- you agree to notify UBS Asset Management (Australia) Ltd of any changes to your tax residency or that of any beneficial owners or controlling person.

Signature of investor 1, director or authorised signatory

Signature

Date (DD/MM/YY) / /

Full name

Company officer (please indicate company capacity)

- | | |
|-------------------------------------|--------------------------|
| Director | <input type="checkbox"/> |
| Sole director and company secretary | <input type="checkbox"/> |
| Authorised signatory | <input type="checkbox"/> |

Signature of investor 2, director/company secretary or authorised signatory

Signature

Date (DD/MM/YY) / /

Full name

Company officer (please indicate company capacity)

- | | |
|-------------------------------------|--------------------------|
| Director | <input type="checkbox"/> |
| Sole director and company secretary | <input type="checkbox"/> |
| Authorised signatory | <input type="checkbox"/> |

DIRECT DEBIT REQUEST (DDR) SERVICE AGREEMENT

The following is your Direct Debit Service Agreement with National Australia Bank Limited (NAB). This agreement is designed to explain what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider.

We recommend you keep this agreement in a safe place for future reference. It forms part of the terms and conditions of your Direct Debit Request and should be read in conjunction with your DDR form.

Definitions

account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

agreement means the Direct Debit Request Service Agreement between you and us.

banking day means a day other than a Saturday or Sunday or a public holiday listed throughout Australia.

debit day means the day that payment by you to us is due.

debit payment means a particular transaction where a debit is made.

direct debit request means the Direct Debit Request between us and you.

us or we means NAB (the Debit User) you have authorised by signing a direct debit request.

you means the customer who signed the Direct Debit Request.

your financial institution means the financial institution nominated by you on the DDR at which your account is maintained.

Definitions

- By signing a Direct Debit Request, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you
- We will only arrange for funds to be debited from your account as authorised in the Direct Debit Request
- If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account on the following banking day. If you are unsure about which day your account has or will be debited you should ask your financial institution.

Amendments by us

We may vary any details of this agreement or a Direct Debit Request at any time by giving you a least 14 days written notice.

Amendments by you

You may change, stop or defer a debit payment, or terminate this agreement by providing at least 14 days notification by writing to:

UBS Asset Management (Australia) Limited
GPO Box 804
Melbourne VIC 3001
Fax: 1300 073 090 or +61 3 9977 5800

Amendments by you

- It is your responsibility to ensure that there are sufficient cleared funds available in your account to allow a debit payment to be made in accordance with the Direct Debit Request.
- If there are insufficient cleared funds in your account to meet a debit payment
 - o you may be charged a fee and/or interest by your financial institution;
 - o you may also incur fees or charges imposed or incurred by us; and
 - o you must arrange for the debit payment to be made by another method or arrange for sufficient cleared funds to be in your account by an agreed time so that we can process the debit payment.
- You should check your account statement to verify that the amounts debited from your account are correct.
- If we are liable to pay goods and services tax ('GST') on a supply made in connection with this agreement, then you agree to reimburse us.

Dispute

- If you believe that there has been an error in debiting your account, you should notify us directly by faxing us on 1300 073 090 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly. Alternatively you can take it up with your financial institution directly.
- If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.
- If we conclude as a result of our investigations that your account has not been incorrectly debited, we will respond to your query by providing you with reasons and any evidence for this finding in writing.

Accounts

You should check:

- with your financial institution whether direct debiting is available from your account, as direct debiting is not available on all accounts offered by financial institutions;
- your account details which you have provided to us are correctly by checking them against a recent account statement; and
- with your financial institution before completing the Direct Debit Request if you have any queries about how to complete the Direct Debit Request.

Confidentiality

- We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- We will only disclose information that we have about you:
 - o to the extent specifically required by law; or
 - o for the purposes of this agreement (including disclosing information in connection with any query or claim).

Notice

- If you wish to notify us in writing about anything relating to this agreement, you should write to UBS Asset Management (Australia) Ltd, Level 16, Chifley Tower, 2 Chifley Square NSW 2000
- We will notify you by sending a notice in the ordinary post to the address you have given us in the Direct Debit Request.
- Any notice will be deemed to have been received on the third banking day after posting.

Request and Authority to debit

Surname or Company Name

Given name(s) or ACN/ARBN

requests and authorises NAB [Direct Debit ID 322063] to arrange, through its own financial institution, a debit to your nominated account any amount NAB, has deemed payable by you. The debit or charge will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below and will be subject to the terms and conditions of the Direct Debit Request Service Agreement.

Request and Authority to debit

Financial Institution Name

Address

Request and Authority to debit

Account name

BSB number

Account number

Acknowledgement

By signing and/or providing us with a valid instruction for your Direct Debit Request, you have understood and agreed to the terms and conditions governing the debit arrangements between you and NAB as set out in this Request and your Direct Debit Request Service Agreement.

Signature 1 (If signing for a company, sign and print full name and capacity for signing eg. director)

Address

Date (DD/MM/YYYY)

Signature 2 (If signing for a company, sign and print full name and capacity for signing eg. director)

Address

Date (DD/MM/YYYY)

Facsimile indemnity

If you are advising us via facsimile in respect of instructions (including applications, withdrawal requests and switching requests) you should be aware of the following conditions:

We will only process your facsimile instructions if it has been received by us in full. We are not responsible for any loss or delay that results from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence of receipt of the facsimile by us.

We do not take responsibility for any fraudulently completed facsimile transactions and we will not compensate you for any losses. For example, you bear the risk that a fax may be sent by someone who knows your account details.

In the event of fraud taking place, you agree to release, discharge and indemnify UBS Asset Management (Australia) Ltd from and against all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities (however they arise) to the extent permitted by law, suffered by you or suffered by or brought against us, in respect of the facsimile instructions.

Privacy

Keeping us informed

Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your Investor Number when you contact us.

Collecting and using your information

We collect information for the following purposes:

- to process your application;
- to administer your investment and provide you with reports;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. These include credit agencies, financial advisers, fund managers or intermediaries and spouses. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

Disclosing your information

We exchange your personal information with your consultant/ adviser and third parties appointed by your consultants/adviser if you complete **section 8 and 9** of the Application Form, or if you request us to, and to any other authorised representative of yours (such as your accountant or lawyer) from time to time. In addition, we may exchange personal information about you in the following circumstances:

- you consent to the disclosure;
- with any joint investor;
- with companies that provide services to us, to our related companies, to the Fund, or on our behalf (and our related companies may also exchange personal information with these companies) - for example administration, custody, investment management, technology, identity verification, auditing, registry, mailing or printing services; or
- where required or authorised by law, which may include disclosures to the Australian Taxation Office and other Government or regulatory bodies; or
- with organisations related to us such as UBS Wealth Management Australia Ltd and its related bodies corporate, whether in Australia or any overseas jurisdiction ('Related Companies').

In some cases, the types of organisations referred to above to whom we will disclose your personal information may be located in Switzerland, China, Hong Kong, India, New Zealand, Poland, Singapore, United Kingdom, United States of America and other countries.

FATCA/CRS

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit www.ato.gov.au.

If you are unsure of any of how our due diligence and reporting obligations under the FATCA/CRS may affect you, please contact a legal or accounting professional.