Client Services contact details
Phone: 1800 572 018 (free call) | **International:** +61 3 9046 4041
Email: ubs@unitregistry.com.au
Web: www.ubs.com/am-australia

Change of Details

UBS Asset Management (Australia) Ltd

Use this form if you are an existing investor investor and wish to change your contact details, distribution preference, bank account details or annual report option. If you are an existing investor who has invested through an IDPS or external broker you will need to contact that provider to change your details.

Please complete all sections in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

HOW TO COMPLETE THIS FORM

Step 1 **Please ensure that you have completed the following:**

- ☐ written your investor number and investor name as it appears on your latest statement in **section 1**
- ☐ if you are changing your contact details complete **section 2**
- ☐ if you are changing your distribution preference complete **section 3**
- ☐ if you are changing your bank account details complete **section 4**
- ☐ if you are changing your annual financial statements option complete **section 5**
- ☐ signed the form as per the 'Acknowledgements and signatures' in **section 8**.

Step 2 **Send your documents to us.**

You can return your forms by post, fax or email according to the details below:

You can return your forms by post to:

UBS Asset Management (Australia) Ltd
GPO Box 804
Melbourne VIC 3001

Send by Fax: 1300 073 090 or +61 3 9977 5800

Scan and email to: ubs_transactions@unitregistry.com.au

Please include your investor number in the subject line of your email.

LEGAL NOTICES

UBS Asset Management (Australia) Ltd ABN [31 003 146 290], Australian Financial Services Licence [222605], is the Responsible Entity and issuer of this Change of Details Form.

Your privacy is important to us. We will treat all personal information in accordance with UBS Asset Management (Australia) Ltd's Privacy Policy. A copy of the Privacy Policy can be obtained by calling 1800 572 018 or +61 3 9046 4041 or from UBS Asset Management (Australia) Ltd's website: www.ubs.com/au/en/asset_management/privacy-policy.html

Last updated: 28 June 2023

1. INVESTOR DETAILS

Investor number

Investor name

2. NEW CONTACT DETAILS

New residential address or registered office address - (A PO Box/RMB/Locked Bag is not acceptable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

New postal address (if different to residential address) - A PO Box/RMB/Locked Bag is acceptable.

Unit

Street number

Street name

Suburb

State

Postcode

Country

New contact details

Home number (include country and area code)

Mobile number (include country code)

New email address (please use block letters)

This email address is the default address for all investor correspondence (such as transaction confirmations, statements, reports and other material).

Tax status

Please complete the below and provide an updated Tax Information Form if your tax status has changed.

☐ Australian resident

☐ Non-resident (Please specify country of residence)

If you are an Australian resident for tax purposes please provide your Tax File Number (TFN) or reason for exemption. If you are an Australian resident and do not provide your TFN, or reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption (see HELP box below)

If you have changed your status for US tax purposes, please provide an updated Tax Information Form.

If your new residence address or new mailing address is in a different country from the the country of tax residence stated on the Application Form or Tax Information Form you have previously completed, please provide an explanation of why your tax residency has not changed.

HELP

List of TFN Exemption Reasons:

- Entity not required to lodge an income tax return
- Investor is a pensioner
- Investor is a recipient of other benefit
- Investor is under 16 years of age
- Investor is in the business of providing finance
- Investor is not an Australian resident
- Investor is a Norfolk Island resident

3. CHANGE OF DISTRIBUTION PREFERENCE

Please indicate your choice below. If you do not make a choice below, we will reinvest your distribution into the fund.

Fund name	APIR	Distribution preference (indicate (X) one option per fund)	
		Reinvest	Pay to my Australian bank account
Equities			
UBS Australian Share Fund	SBC0817AU	☐	☐
UBS Australian Small Companies Fund	UBS0004AU	☐	☐
UBS Australian Small Companies SIV Fund (Significant Investor Visa)	UBS0063AU	☐	☐
UBS Emerging Markets Equity Fund	UBS8018AU	☐	☐
UBS Microcap Fund	UBS0057AU	☐	☐
UBS International Share Fund	SBC0822AU	☐	☐
UBS Future Leaders Global Small Companies Fund	UBS6028AU	☐	☐
Property securities and infrastructure			
UBS CBRE Global Infrastructure Securities Fund	UBS0064AU	☐	☐
UBS CBRE Global Property Securities Fund	HML0016AU	☐	☐
UBS CBRE Property Securities Fund	SBC0816AU	☐	☐
Fixed income and cash			
UBS Australian Bond Fund	SBC0813AU	☐	☐
UBS Cash Fund	SBC0811AU	☐	☐
UBS Short-Term Fixed Income Fund	SBC0812AU	☐	☐
UBS Diversified Fixed Income Fund	SBC0007AU	☐	☐
UBS Global Dynamic Bond Fund	UBS7109AU		
UBS Global Credit Fund	UBS0103AU	☐	☐
UBS Income Solution Fund	UBS0003AU	☐	☐
UBS International Bond Fund	SBC0819AU	☐	☐
Multi-asset			
UBS Balanced Investment Fund	SBC0815AU	☐	☐
UBS Defensive Investment Fund	SBC0814AU	☐	☐

4. AUSTRALIAN BANK ACCOUNT DETAILS

Please provide the Australian financial institution account details in order to receive your distribution payments and/or future redemption payments. Payments will only be made to a financial institution account held in the name of the investor/s. Payments will not be made into third party financial institution accounts.

Financial institution name

Branch name

Account name

BSB number

Account number

Regular savings plan – change of bank account

☐ Select if the above bank account is also to be used for your regular savings plan. If this box is not ticked, we assume you do not have a regular savings plan or that you wish for your existing regular savings plan bank account details to remain unchanged.

5. ANNUAL FINANCIAL STATEMENTS OPTIONS

☐ No annual financial statement

☐ Annual financial statements by email*

☐ Annual financial statements by post

*If you have elected to receive your annual financial statements by email, please provide your email address on section 2 of this form.

6. FACSIMILE INDEMNITY

If you are advising us via facsimile in respect of instructions (including applications, withdrawal requests and switching requests) you should be aware of the following conditions:

- we will only process your facsimile instructions if it has been received by us in full. We are not responsible for any loss or delay that results from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence of receipt of the facsimile by us
- we do not take responsibility for any fraudulently completed facsimile transactions and we will not compensate you for any losses. For example, you bear the risk that a fax may be sent by someone who knows your account details
- in the event of fraud taking place, you agree to release, discharge and indemnify UBS Asset Management (Australia) Ltd from and against all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities (however they arise) to the extent permitted by law, suffered by you or suffered by or brought against us, in respect of the facsimile instructions.

7. PRIVACY

Keeping us informed

Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your Investor Number when you contact us.

We collect information for the following purposes:

- to process your application;
- to administer your investment and provide you with reports;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. These include credit agencies, financial advisers, fund managers or intermediaries and spouses. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

Disclosing your information

We exchange your personal information with your consultant/ adviser and third parties appointed by your consultants/adviser if you complete **section 8 and 9** of the Application Form, or if you request us to, and to any other authorised representative of yours (such as your accountant or lawyer) from time to time.

In addition, we may exchange personal information about you in the following circumstances:

- you consent to the disclosure;
- with any joint investor;
- with companies that provide services to us, to our related companies, to the Fund, or on our behalf (and our related companies may also exchange personal information with these companies) - for example administration, custody, investment management, technology, identity verification, auditing, registry, mailing or printing services; or
- where required or authorised by law, which may include disclosures to the Australian Taxation Office and other Government or regulatory bodies; or
- with organisations related to us whether in Australia or any overseas jurisdiction ('Related Companies').

In some cases, the types of organisations referred to above to whom we will disclose your personal information may be located in Switzerland, China, Hong Kong, India, New Zealand, Poland, Singapore, United Kingdom, United States of America and other countries.

8. ACKNOWLEDGMENTS AND SIGNATURES

By completing and signing this form, you

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Signing instructions

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Signature

Date (DD/MM/YY) __ __ / __ __ / __ __ __ __

Full name

Company officer (please indicate company capacity)	
Director	☐
Sole director and company secretary	☐
Authorised signatory	☐

Signature of investor 2, director/company secretary or authorised signatory

Signature

Date (DD/MM/YY) __ __ / __ __ / __ __ __ __

Full name

Company officer (please indicate company capacity)	
Director	☐
Sole director and company secretary	☐
Authorised signatory	☐