

Phone

Within Australia: 1800 572 018 (free call)
International: +61 3 9046 4041

Email

ubs@unitregistry.com.au

Website

www.ubs.com/am-australia

Tax File Numbers, Australian Business Numbers or Exemptions

Use this form if you are an existing investor and wish to update your Tax File Number, Australian Business Number or advise of a reason for exemption.

Please complete all sections in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Please ensure that you have completed the following:

- written your investor number and investor name as it appears on your latest statement
- completed the tax section relevant to you
- signed the form as per the 'Acknowledgements and signatures' in section 5.

2. Send your documents to us

You can return your form by post, fax or email according to the details below:

Send by Post: UBS Asset Management (Australia) Ltd
GPO Box 804
Melbourne
VIC 3001

Send by Fax: 1300 073 090 or +61 3 9977 5800

Scan and email to: ubs_transactions@unitregistry.com.au
Please include your investor number in the subject line of your email.

Legal notices

UBS Asset Management (Australia) Ltd ABN [31 003 146 290], Australian Financial Services Licence [222605], is the Responsible Entity and issuer of this Tax File Numbers, Australian Business Numbers or Exemptions Form.

Your privacy is important to us. We will treat all personal information in accordance with UBS Asset Management (Australia) Ltd's Privacy Policy. A copy of the Privacy Policy can be obtained by calling 1800 572 018 or +61 3 9046 4041 or from UBS Asset Management (Australia) Ltd's website: www.ubs.com/au/en/asset_management/privacy-policy.html

Last updated: 24 July 2018

Section 1. Investor details

Investor number

Investor name

Section 2. Tax File Numbers, Australian Business Numbers or exemptions

Individuals

Please provide your Australian Tax File Number (TFN) or reason for exemption. If you are an Australian resident and do not provide your TFN, or reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

Companies

Please provide your Australian Business Number (ABN).

ABN

Trusts or superannuation funds

Please provide the below information which is applicable to you.

ABN (applicable if you are a trust or a self-managed superannuation fund registered with the Australian Tax Office)

TFN

Australian Registered Scheme Number (ARSN) (applicable if your trust is registered with ASIC)

Section 3. Facsimile indemnity

If you are advising us via facsimile in respect of instructions (including applications, withdrawal requests and switching requests) you should be aware of the following conditions:

- we will only process your facsimile instructions if it has been received by us in full. We are not responsible for any loss or delay that results from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence of receipt of the facsimile by us.
- we do not take responsibility for any fraudulently completed facsimile transactions and we will not compensate you for any losses. For example, you bear the risk that a fax may be sent by someone who knows your account details.
- in the event of fraud taking place, you agree to release, discharge and indemnify UBS Asset Management (Australia) Ltd from and against all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities (however they arise) to the extent permitted by law, suffered by you or suffered by or brought against us, in respect of the facsimile instructions.

Section 4. Foreign Account Tax Compliance Act (FATCA)

The Fund is registered as a Reporting Australian Financial Institution under the intergovernmental agreement entered into between the Australian and United States (US) governments in relation to FATCA on 28 April 2014 (IGA).

As a Reporting Australian Financial Institution, we will conduct due diligence on prospective investors in the Fund and on existing unit holders. Prospective investors (including existing unit holders applying for additional units) will need to provide us with certain information and/or documentation when applying for units. Existing unit holders will need to provide us with certain information and/or documentation on request. We will report information in respect of certain unit holders and their unit holdings in the Fund to the Australian Taxation Office (ATO).

Broadly, we will report to the ATO information in respect of unit holders who are:

- U.S. citizens or residents,
- certain types of U.S. entities, or
- certain types of non-U.S. entities that are controlled by one or more U.S. citizens or residents.

We are also required to report to the ATO the details of any payments we make to 'Non-participating Financial Institutions', as such term is defined in the IGA.

If you are an existing unit holder and you do not provide us with the required documentation upon request we may be required to report information in respect of you and your unit holding in the Fund to the ATO.

If you are a new investor (including an existing unit holder applying for additional units) and you do not provide us with the required information and/or documentation on request, we may not issue units to you. Alternatively, we may report information in respect of you and your unit holding in the Fund to the ATO.

In accordance with the IGA, the ATO will share information reported to it by Australian financial institutions with the U.S. Internal Revenue Service.

For further information in relation to how our due diligence and reporting obligations under the IGA may affect you, please consult your tax adviser.

Section 5. Acknowledgments and signatures

By completing and signing this form, you

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

Company officer (please indicate company capacity)

- Director
 Sole director and company secretary
 Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

Company officer (please indicate company capacity)

- Director
 Sole director and company secretary
 Authorised signatory