

UBS KeyLink Pre-Release Notes



New Functionality & Updates

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Release 9.5

Dear UBS KeyLink Client,

In this edition of the UBS KeyLink Pre-Release Notes for version 9.5, our objectives are to provide you with a preview as to which functionality will be improved and which new enhancements will supplement the UBS KeyLink e-solution suite. In doing so, we strive to continually show you how to benefit from these developments most.

As users of UBS KeyLink you will receive this publication for all current and planned upgrades. Please read the information summarized below for a preview of the new functionality that will be implemented in version 9.5 which will be released 23 November 2009.

We trust that our developments meet your approval and welcome your feedback.

Sincerely,
Global KeyLink Services

Your Suggestions

We continue to make system enhancements based on our customers' suggestions, needs and recommendations.

Since we are constantly making every effort to meet your needs, we welcome any comments or suggestions you may have.

You may contact any of our relationship managers (see Helpline numbers below), or e-mail your comments to:

sh-keylink@ubs.com

UBS KeyLink Helpline

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Cash

Swift 2009	With the Swift release for Cash in 2009, we will be ensuring that the new code for Country can be processed for incoming payments.
Payment Events Export	Cash Payments export provides basic payment data including the latest verification and release events. The record contains a timestamp and user ID for each verify or release event.

Securities

QTS Investigations	We have laid the foundation for the creation of related investigations from securities transactions in the future. This includes preparations within the application and the connections to the corresponding system.
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CLS Third Party

CLS Reporting: Non-Alleged Trades	Alleged trades, when updated to No Longer Alleged (NL), will no longer be displayed in the "All" view of CLS Reporting. However, they will still be available in the "Alleged" view.
CLS Reporting: Duplicate Trades	When an instruction is manually entered or imported the system will check if the trade transaction reference (field 20) has been used and if it is still active in the database. If in use, the system will show an error and save the trade in status "Entered Incomplete" .